

SUMMARY

Performance Evaluations of Consultants Working on MTA Capital Projects MTA/OIG #2014-07

In 1998, the MTA adopted guidelines for an All-Agency Contractor Evaluation (ACE) system to uniformly obtain and record reliable information on the performance of consultants and contractors working on MTA capital projects. These performance evaluations are used by MTA agencies during background checks on prospective consultants and contractors to obtain reasonable assurances that they have the integrity, ability, and capacity to fulfill the contracts' quality, safety, and scheduling requirements.

As part of the ongoing efforts by the Office of the MTA Inspector General to assess and strengthen the effectiveness of the ACE process, we performed a compliance audit at four MTA agencies and found that three of the agencies failed to evaluate the performance of a number of the consultants they hired to work on the capital program. This failure represents a missed opportunity to enhance the quality of consultants retained throughout the MTA.